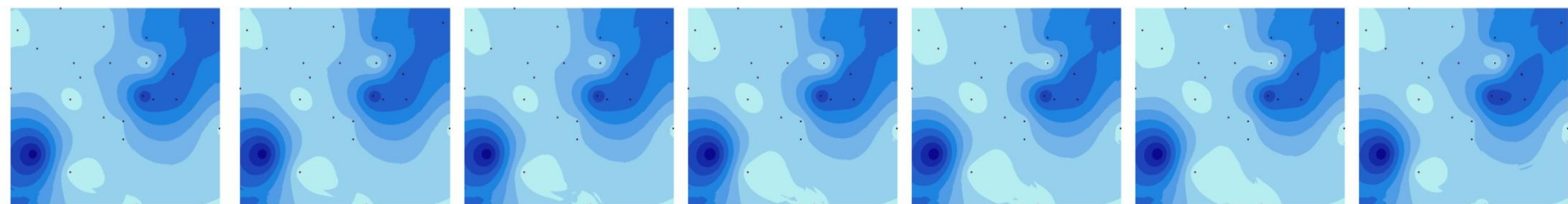


# **Analysing spatial and temporal patterns of soil water in small forest areas by using GAMs**



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# Content

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1. Aim of project
2. Dataset – RMP concept
3. First steps with GAMs
4. Many questions

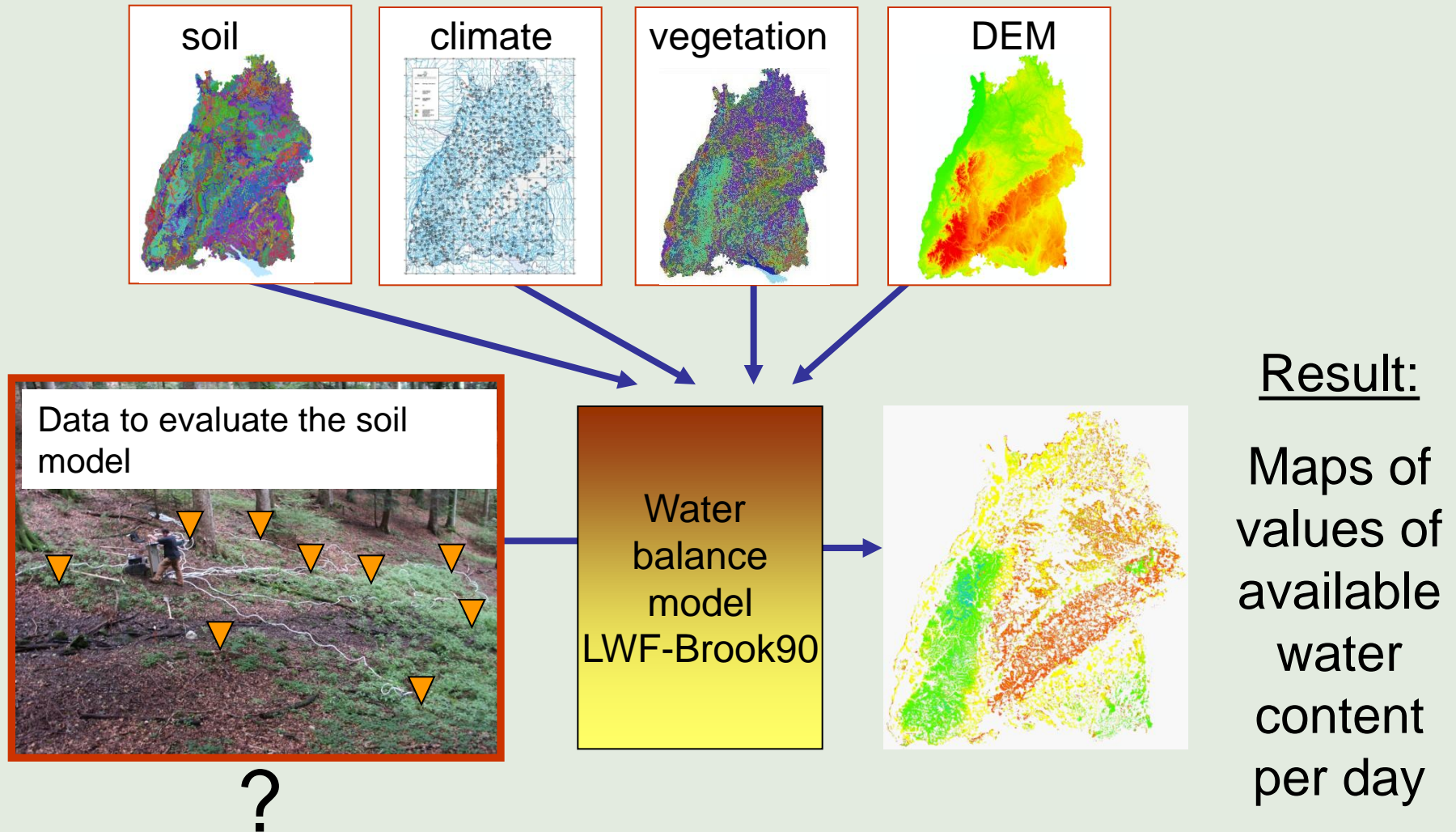
# Main Aim of Project

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Development of a regional concept to quantify the probability of soil water deficit in small forest areas

- **spatial distribution** of water deficit
- **temporal dynamics** of water deficit  
(frequency and duration)
- change of drought stress risk due to **climate change**

# Modeling of soil water with a water balance model

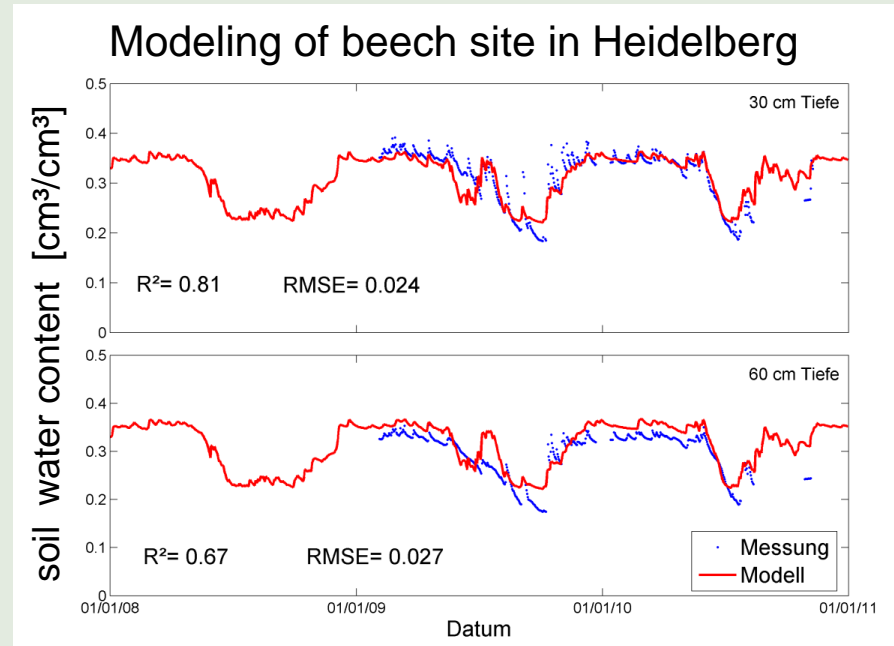


# Data for validation a water balance model

Location of intensive measurement stations in forest areas in Baden-Württemberg



Only temporal patterns of soil water in different depths



No measurements of spatial variability



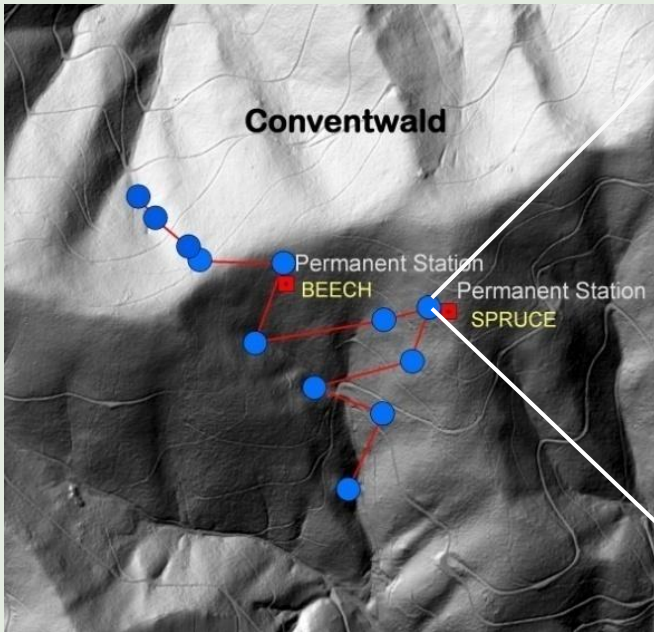
Apply RMP-concept



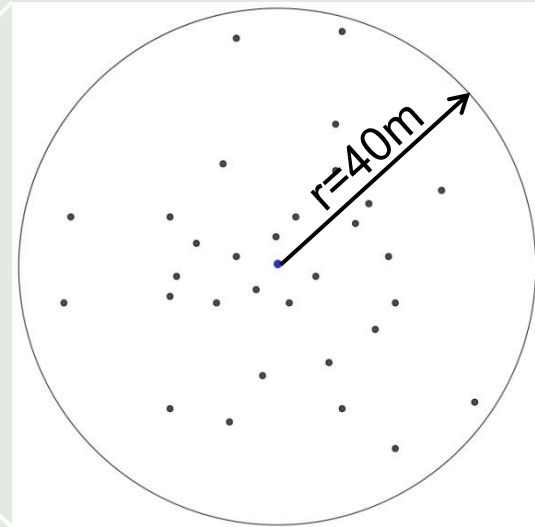
Which site factors influence the variability of soil moisture ?

# Application of Randomised Moving Plots- concept

4 x 12 plots in forest areas in southwest Germany



**Transect 800 – 1000 m, 12 plots**



**1 plot with  
31 TDR- position**

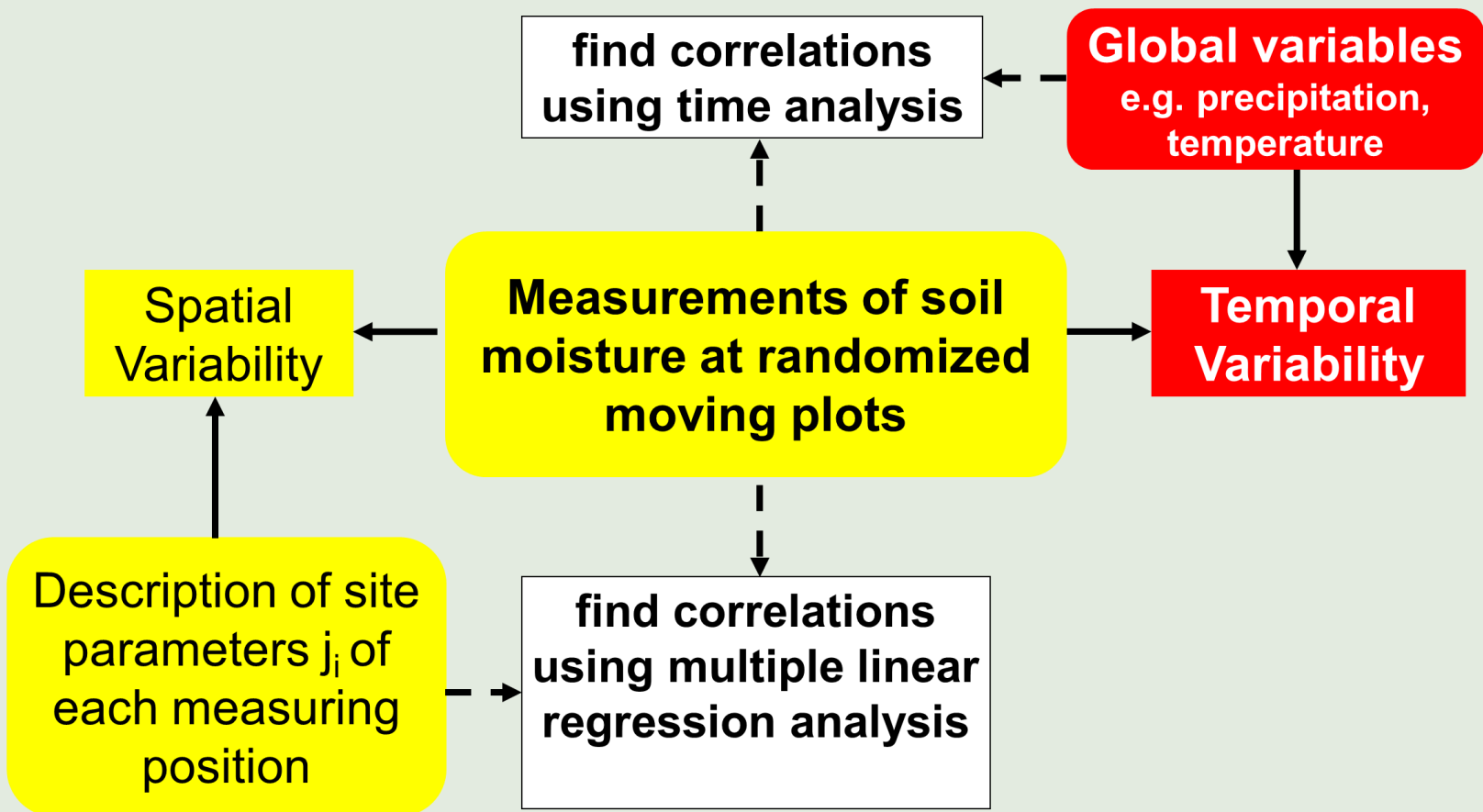
## Soil moisture measurements:

- 31 TDR-positions
- Undisturbed installation
- 14-days periods
- Half-hour value
- 2 measurement periods per plot

- Selection of plots: forest stand, aspect, slope and topographic wetness index
- 2 intensive measurement plots (soil moisture and tension, precipitation) and 1 climate station

# Idea of RMP concept

$$\theta(x,y,t) = \theta_{\text{temporal}}(t) + \theta_{\text{spatial}}(x,y,t) + \varepsilon_{\theta}(x,y,t)$$



# Possible Predictors

## Information at each TDR sensor position:

- To level exact position (easting, northing)
- Morphologic parameters from 1m grid DEM
- Vegetation parameters
- Soil profile description

 150 site factors – spatial variability

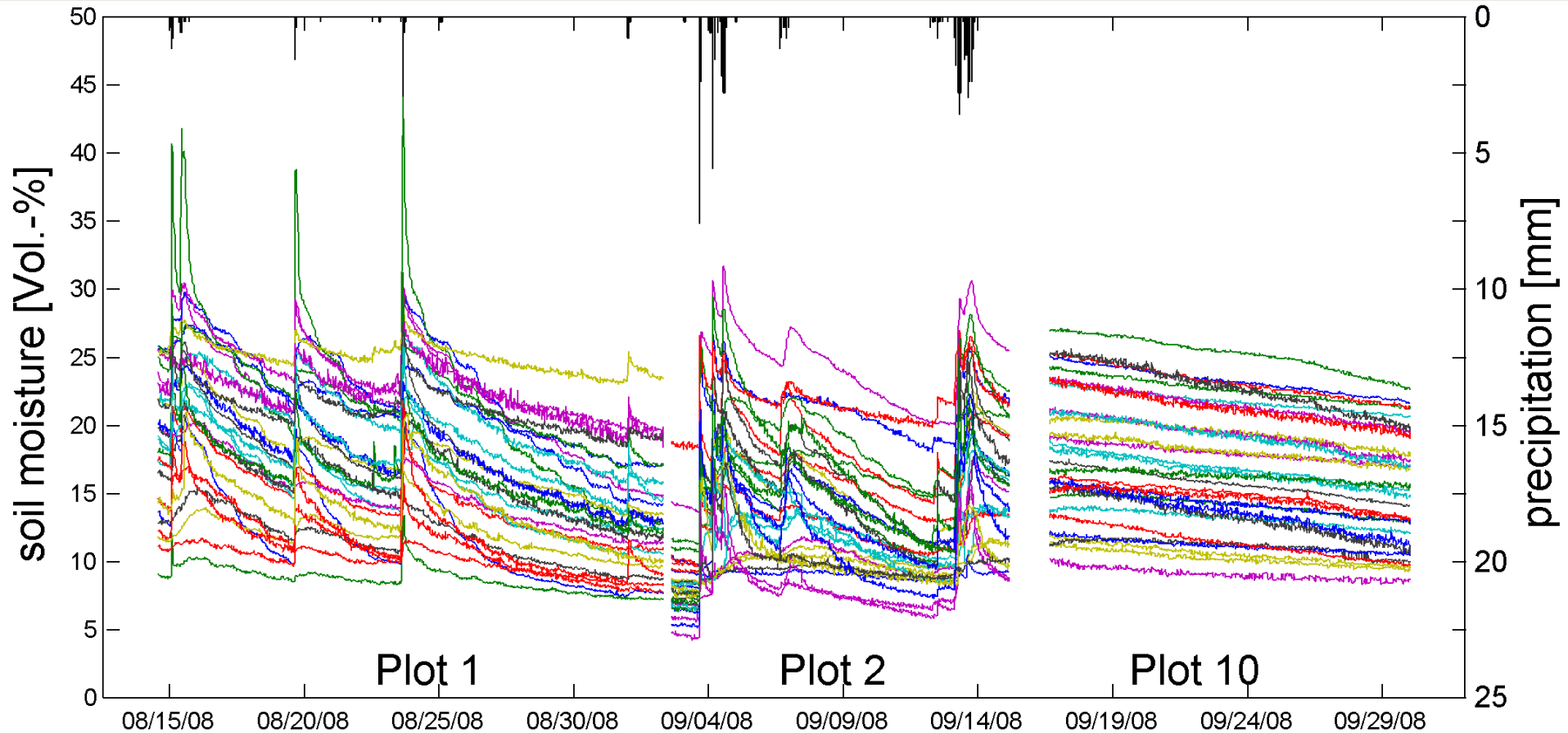
## Information for each measurement time step per area:

- Precipitation P, air humid RF, air temperature T, radiation ...
- cumulated climate factors (sum of P 48 hour, mean RF30 hour...)

 17 climate factors – temporal variability



# Example of Measurements



very high spatial and temporal  
variability of soil moisture

| Plot         | 1           | 2           | 10          |
|--------------|-------------|-------------|-------------|
| Max          | 44.1        | 31.7        | 27.1        |
| Mean         | 17.3        | 13.8        | 16.8        |
| Min          | 7.2         | 4.2.        | 8.3         |
| CV [%]       | <b>28.2</b> | <b>31.6</b> | <b>27.4</b> |
| Precip. [mm] | 22          | 103         | 0           |

# Developing a GAM for soil moisture

**Dataset:** 1.271.984 measurements in half an hour resolution

Measurement variable: soil moisture SW

| ID      | plot  | area  | date                 | SW   | relSWC | relAWC | easting | northing | Slope ..... |
|---------|-------|-------|----------------------|------|--------|--------|---------|----------|-------------|
| 2110724 | 1..12 | 1...4 | 11/03/08<br>19:30:00 | 28.0 | 57.2   | 58.6   | 3572052 | 5320166  | 11.734      |

## **Response variable for GAM**

Transformation of soil moisture:

relative saturated water content = **rel. SWC**

relative available water content = rel. AWC

**Better comparison of measurements**

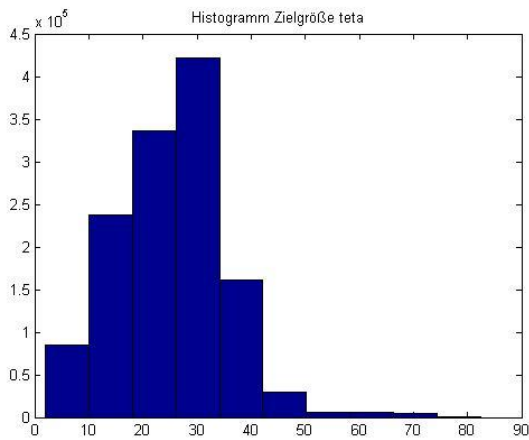
# Developing a GAM for soil moisture

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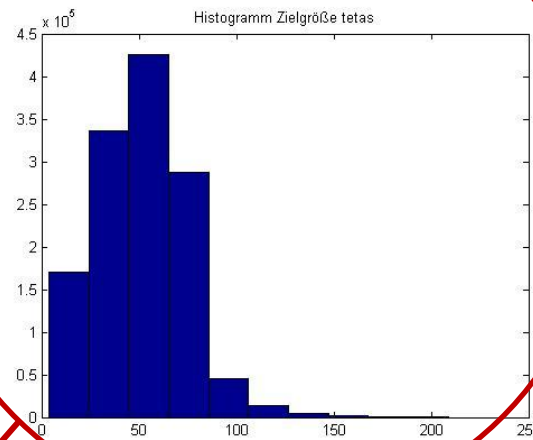
1. Build a possible GAM-structure
2. Plot histogram of the response variable
3. Make scatterplots of target value and variables
4. Select some variables with a high correlation or trend to relative saturation water content
5. Build a complete data matrix with spatial and temporal information to each measurement
6. Build a GAM step by step, beginning with one variable as fixed effect
7. Compare models by AIC, BIC, p-values, adj.  $R^2$ , degrees of freedom

# Developing a GAM for soil water

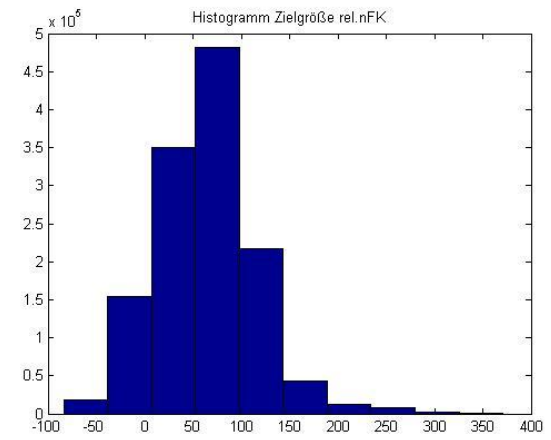
## Distribution of Measurements



rel. SWC [%]



rel. AWC [%]



$$\log(y) = x\beta_i + b(s) + d(t) + \varepsilon$$

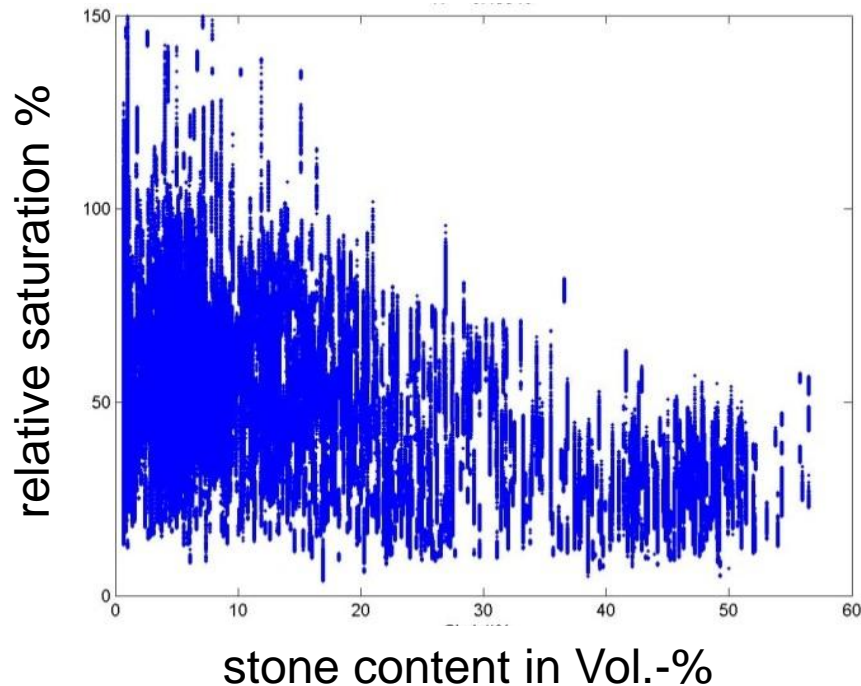
fixed effects + spatial correlation + temporal correlation + random effects  $N(0, \sigma^2)$

# Scatterplots of variables and target value

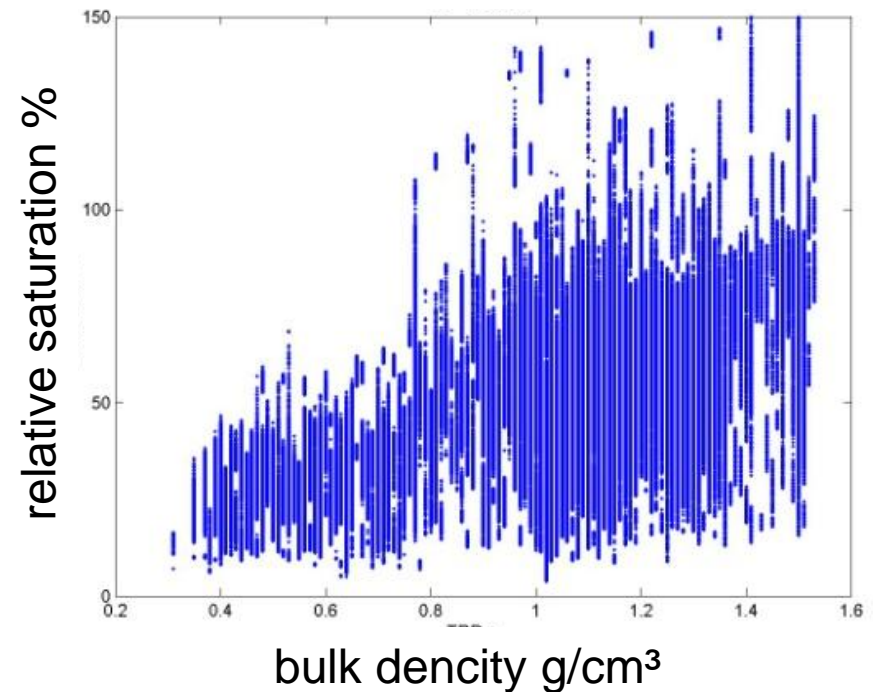


select variables with a correlation to target value

$R^2 = -0.499$



$R^2 = 0.54$



- slope, vertical distance to water bed, sand content, loamy content, silt content, altitude, coordinates, slope-length factor, stream power index
- precipitation, rel. humidity, global radiation, air temperature
- sum of precipitation/radiation of last 48 hour, mean air temperature/rel. humidity last 30 hours

# Build a GAMM

```
Fit.GAMM.53 = gamm(relSWC~s(bulk dencity), family=Gamma(link=log), data=daten)
```

| AIC      | BIC      | adj.R <sup>2</sup> | significant |
|----------|----------|--------------------|-------------|
| 89368.18 | 89406.24 | 0.255              | <2e-16 ***  |

```
summary(Fit.GAMM.53$gam)
```

Family: Gamma Link function: log

Formula:tetas ~ s(bulk\_dencity)

Parametric coefficients:

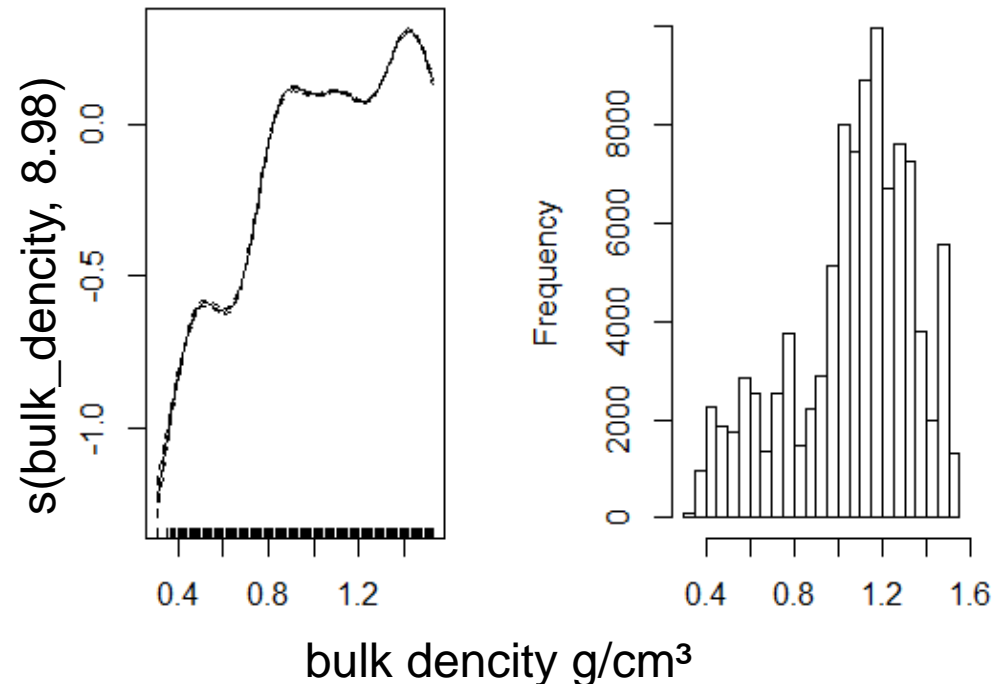
|             | Estimate | Std. Error | t value | Pr(> t ) |
|-------------|----------|------------|---------|----------|
| (Intercept) | 3.903980 | 0.001196   | 3265    | <2e-16   |

Approximate significance of smooth terms:

|         | df    | Ref.df | F    | p-value |
|---------|-------|--------|------|---------|
| s(b.d.) | 8.978 | 8.978  | 6218 | <2e-16  |

Scale est. = 0.14299

n = 100000



# Build a GAM

```
Fit.GAMM.7 = gamm(rel.SWC ~s(easting,northing), family=Gamma(link=log), data=daten)
```

| AIC      | BIC     | adj.R <sup>2</sup> | significant |
|----------|---------|--------------------|-------------|
| 80041.23 | 80088.8 | 0.362              | <2e-16 ***  |

```
summary(Fit.GAMM.7$gam)
```

Family: Gamma

Link function: log

Formula: rel.SWC ~ s(easting, northing)

Parametric coefficients:

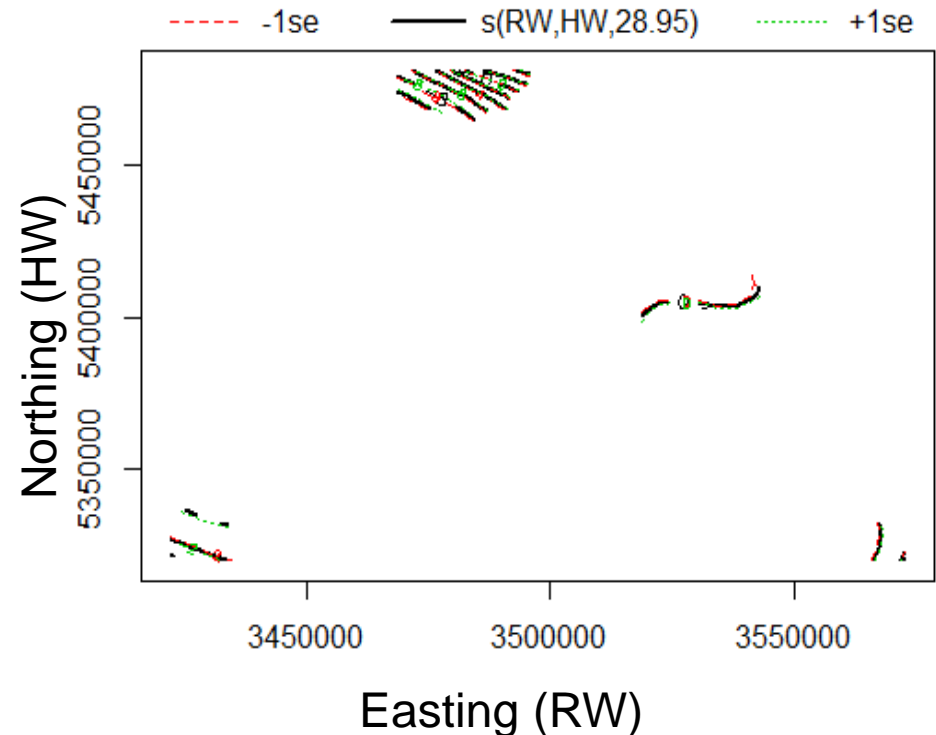
|             | Estimate | Std. Error | t value | Pr(> t ) |
|-------------|----------|------------|---------|----------|
| (Intercept) | 3.89347  | 0.00114    | 3414    | <2e-16   |

Approximate significance of smooth terms:

|                 | edf   | Ref.df | F    | p-value |
|-----------------|-------|--------|------|---------|
| s(east.,north.) | 28.95 | 28.95  | 2646 | <2e-16  |

Scale est. = 0.14299

n = 100000



# Build a GAM

```
Fit.GAMM.11 = gamm(rel.SWC ~s(Psum48h)+s(Tmean30h)+s(bulk density)+s(gravel),
family=Gamma(link=log), data=daten)
```

`summary(Fit.GAMM.11$gam)`

Family: Gamma

Link function: log

Formula: relSWC ~ s(Psum48h)+ s(TMean30h)  
+ s(skelett\_r) + s(TRD\_r)

Scale est. = 0.13928 n = 94395

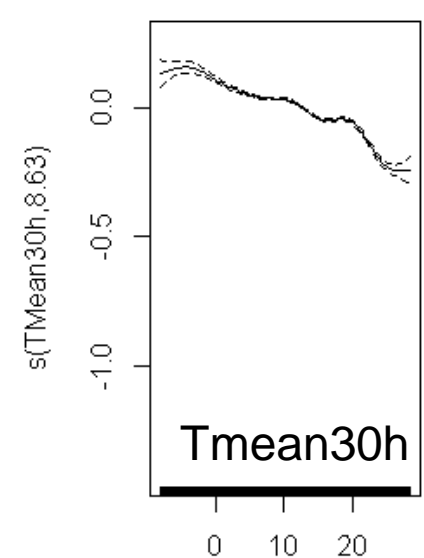
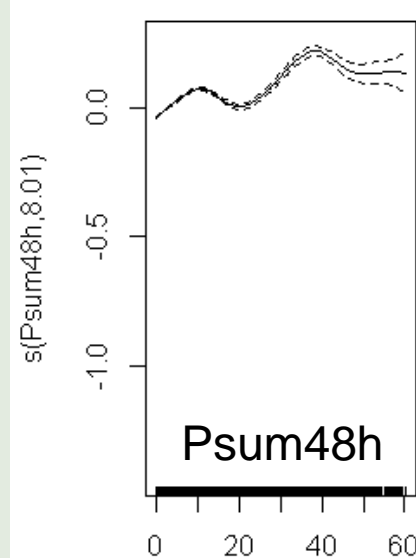
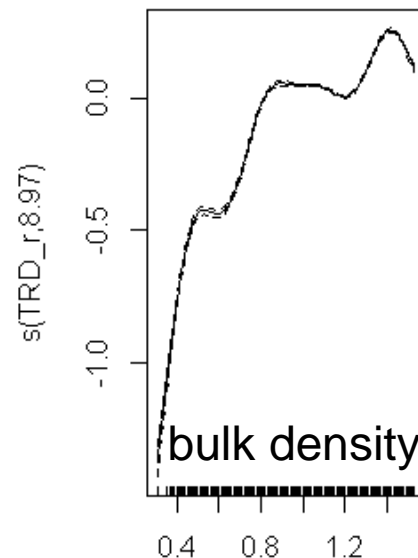
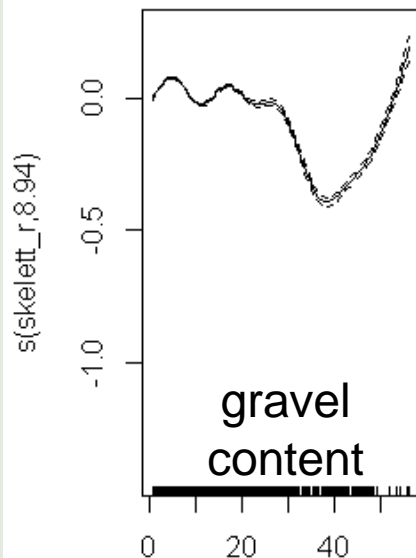
Parametric coefficients:

|             | Estimate | Std. Error | t value | Pr(> t )   |
|-------------|----------|------------|---------|------------|
| (Intercept) | 3.923059 | 0.001215   | 3230    | <2e-16 *** |

| AIC      | BIC      | adj.R <sup>2</sup> | significant |
|----------|----------|--------------------|-------------|
| 82020.22 | 82114.77 | 0.292              | <2e-16 ***  |

Approximate significance of smooth terms:

|              | edf   | Ref.d f | F      | p-value    |
|--------------|-------|---------|--------|------------|
| s(Psum48h)   | 8.008 | 8.008   | 205.6  | <2e-16 *** |
| s(TMean30h)  | 8.634 | 8.634   | 251.6  | <2e-16 *** |
| s(skelett_r) | 8.939 | 8.939   | 403.9  | <2e-16 *** |
| s(TRD_r)     | 8.971 | 8.971   | 1342.7 | <2e-16 *** |





# Questions

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- Make the transformation of target value sense?
- Large dataset, better to reduce it, e.g. mean day values?
- How to select the important variables ?
- Transformation of variables to get better distribution?
- How can I respect autocorrelation in time series?
- Time format- date or numbers?

# Thank you for your attention



Thanks to my colleagues  
for field measurements,  
laboratory and data  
analysis

# Example of spatial variability

Use geostatistical analysis and kriging method to get a dynamic map of soil moisture

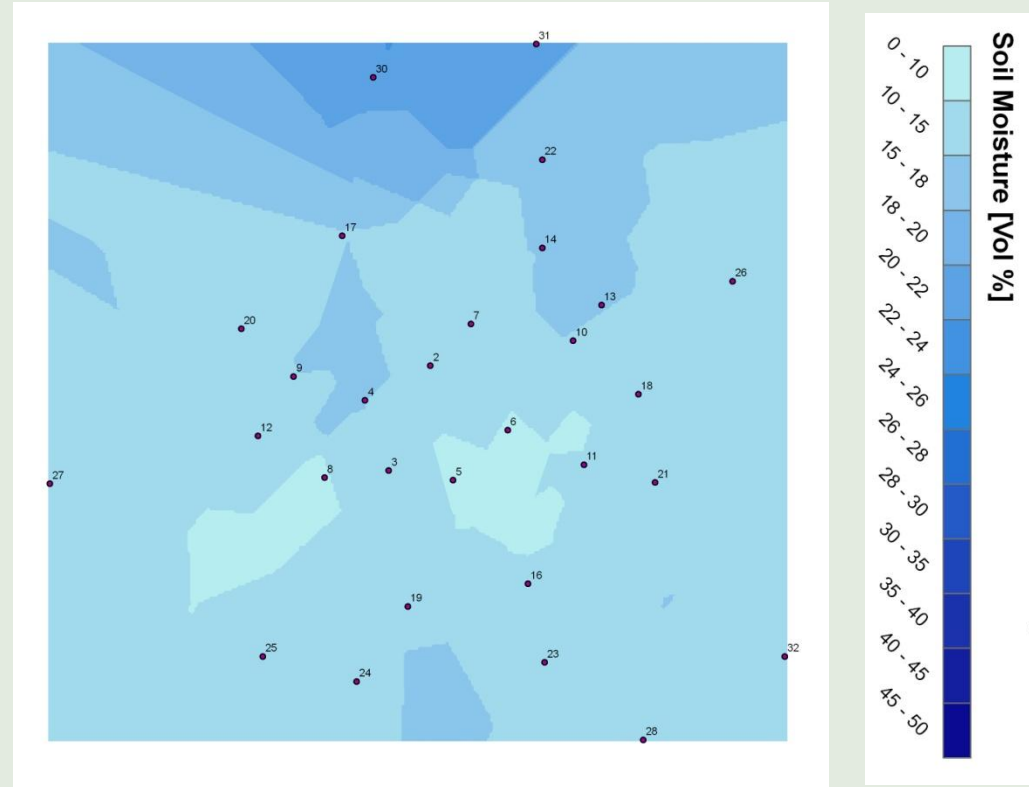
- Mean value per day
- Create variograms

e.g. RMP8

Lag = 2.3-7.5 m

Sill = 28-35 Vol. %

Nugget = 0 - 20 Vol. %



Get data for validating the regression model

# Build a GAM

```
Fit.GAMM.8 = gamm(relSWC~s(RW,HW,bs="ts")+s(slope)+s(skelett_r)s(TRD_r)+s(schluff_r),  
family=Gamma(link=log), data=daten)
```

| AIC      | BIC      | Adj.R <sup>2</sup> | significant   |
|----------|----------|--------------------|---------------|
| 77141.28 | 77245.92 | 0.385              | <2e-16*** a11 |

Approximate significance of smooth terms:

|              | edf    | Ref.df | F      | p-value   |
|--------------|--------|--------|--------|-----------|
| s(RW,HW)     | 28.893 | 29.000 | 501.81 | <2e-16*** |
| s(slope)     | 8.676  | 8.676  | 55.38  | <2e-16*** |
| s(skelett_r) | 8.931  | 8.931  | 164.11 | <2e-16*** |
| s(TRD_r)     | 8.854  | 8.854  | 193.52 | <2e-16*** |
| s(schluff_r) | 8.727  | 8.727  | 45.12  | <2e-16*** |

[summary\(Fit.GAMM.8\\$gam\)](#)

Family: Gamma

Link function: log

Scale est. = 0.12604

n = 100000

